

Release Overview

Structural Changes Under Consideration

Market Dominant – August 29, 2021

This document describes information for changes under review for the next structural implementation. This document is prepared and based on the knowledge available at the time of its printing and any direction found herein is subject to change prior to the release. The contents of this document are pre-decisional, and subject to change based on Board of Governors and Postal Regulatory Commission decision.

Pre-Release Document

EVT

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SENSITIVE DRAFT

1.0 Document Change Log

| DATE | SECTION | DESCRIPTION |
|-------------|----------------|---|
| | All | DRAFT Compiled & Published for CY2021 |
| 6/14/21 | All | Only August 2021 part of release overview |
| | | |

SENSITIVE DRAFT

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SENSITIVE DRAFT

2.0 Introduction

Included in this document are market dominant potential changes under review for the next Structural Release under consideration for August 2021. This document is prepared and based on the knowledge available at the time of its printing and any direction found herein is subject to change, including additional structure changes prior to the release. The contents of this document are pre-decisional, and subject to change.

Draft postage statements marked with revisions are posted to Postal Explorer (<http://pe.usps.com>).

SENSITIVE DRAFT

3.0 Applicable Technical Specifications

3.1 Updates to *PostalOne!* eDoc Specification Versions Support

3.1.1 Mail.dat eDoc

The table below depicts the Mail.dat specification versions that would be supported with the Release, with the possibilities of Errata versions (if determined to be necessary):

Table 1

| <i>PostalOne!</i> Mail.dat (eDoc) Version Support | | | |
|---|---|--|---|
| Versions | Versions Supported Prior to Price Change Effective Date | Versions Supported Price Change Deployment Date to Price Change Effective Date | Post-Price Change – Versions Supported on and after Price Change Effective Date |
| 19-1 ¹ Errata | Supported | Supported | Supported |
| 21-1 ² Errata | Supported | Supported | Supported |

Legend:
 1 – Version 19-1 (Errata version) remains supported through Price Change Deployment Date and beyond.
 2 – Version 21-1 (Errata version) remains supported through Price Change Deployment Date and beyond.

The Price Change Deployment Date release would require a new Mail.dat client to support Mail.dat submissions.

3.1.2 Mail.XML eDoc

The table below depicts the Mail.XML specification versions that would be supported with the Release with the possibilities of Errata versions (if determined to be necessary):

Table 2

| <i>PostalOne!</i> Mail.XML (eDoc) Version Support | | | |
|---|---|--|---|
| Versions | Versions Supported Prior to Price Change Effective Date | Versions Supported Price Change Deployment Date to Price Change Effective Date | Post-Price Change – Versions Supported on and after Price Change Effective Date |
| 23.0 ¹ Errata | Supported | Supported | Supported |
| 24.0 ² Errata | Supported | Supported | Supported |

Legend:
 1 – Version 23.0 (Errata version A) remains supported through Price Change Deployment Date and beyond.
 2 – Version 24.0 (Errata version A) remains supported through Price Change Deployment Date and beyond.

The Price Change Deployment Date release would require a new set of Web Services Definition Language to support Mail.XML submissions.

3.2 Updates to *PostalOne!* Mail.XML Data Distribution, MID-CRID, and FAST Specification Version Support

The table below depicts the specification versions that will be supported with the Release:

Note: there are no changes to supported versions.

Table 3

| Versions | Data Distribution Mail.XML | | MID-CRID Mail.XML | | FAST Mail.XML | |
|----------|---|--|---|--|---|--|
| | Current Supported Versions (Prior to Release) | Post-Release Supported Versions | Current Supported Versions (Prior to Release) | Post-Release Supported Versions | Current Supported Versions (Prior to Release) | Post-Release Supported Versions |
| | Prior to Price Change Deployment Date | Price Change Deployment Date & onwards | Prior to Price Change Deployment Date | Price Change Deployment Date & onwards | Prior to Price Change Deployment Date | Price Change Deployment Date & onwards |
| 14.0A | Supported | Supported | Supported | Supported | Supported | Supported |
| 16.0 | Supported | Supported | Supported | Supported | Supported | Supported |

3.3 Updates to Shipping Services File (SSF) Version Support

The table below depicts the specification versions that will be supported with the Release:

Note: there are no changes to supported versions.

Table 4

| Versions | eVS Shipping Services File Versions Support | |
|----------|---|--|
| | Pre-Release | Post-Release |
| | Prior to Price Change Deployment Date | Price Change Deployment Date & onwards |
| 1.3 | Supported for EMCA/EMRS only | Supported for EMCA/EMRS only |
| 1.4 | Supported | Supported |
| 1.6 | Supported | Supported |
| 1.7 | Supported | Supported |
| 2.0 | Supported | Supported |

SENSITIVE DRAFT

4.0 Proposed First- Class Mail (FCM) Non-Automation Letters Price Categories

The USPS is considering creating prices based on the sortation of Non-Automation mail for First-Class Mail letters. Current structure consists of two prices under Non-Automation: machinable and Non-Machinable letter prices. The proposal would further divide the letter product prices into the following sortation rate category-based prices:

Non-Automation Machinable Letters: 1) AADC, 2) Mixed AADC

Non-Automation Non-Machinable Letters: 1) Mixed ADC, 2) 3-Digit, 3) 5-Digit

- Postage Statement Changes: PS Form 3600 FCM -- Existing lines in section B would be updated to reflect the rate categories for Non-Automation Machinable (AADC, Mixed AADC) and Non-Machinable (5-Digit, 3-Digit, Mixed ADC) sortation. The updates would apply to hard-copy postage statements and online postage statement submission forms.

The proposed postage statement changes are shown in the table below.

| Line | Section within Part B - | Updated Label - August 29, 2021 and After | Status/Change |
|------|-------------------------|---|--|
| B3 | Machinable Letters | AADC | Add new AADC sortation/rate |
| B4 | Machinable Letters | Mixed AADC | Add new Mixed AADC sortation/rate |
| B5 | Machinable Letters | Residual from First-Class Mail Mailing (includes up to 1 oz. and between 1 oz. and 3.5 oz.) | <i>Renumbered from existing</i> |
| B6 | Machinable Letters | Non-Presorted/Single-Piece* | <i>Renumbered from existing</i> |
| B7 | Machinable Letters | Single-Piece from USPS Marketing Mail | <i>Renumbered from existing</i> |
| B8 | Non-Machinable Letters | 5-Digit | Add new Mixed ADC sortation/rate |
| B9 | Non-Machinable Letters | 3-Digit | Add new 3-Digit sortation/rate |
| B10 | Non-Machinable Letters | Mixed ADC | Add new 5-Digit sortation/rate |
| B11 | Non-Machinable Letters | Non-Presorted/Single-Piece* | <i>Renumbered from existing</i> |
| B12 | Non-Machinable Letters | Single-Piece from USPS Marketing Mail | <i>Renumbered from existing</i> |
| B20 | Part B Total | Part B Total (Add lines B1-B19) | Updated |

Validation would be updated to reflect new line numbers for invalid rates and invalid rate combinations. The following rate ingredients would no longer be valid as is:

- Invalid rate (existing line B3): Non-Automation Machinable Letters – Presorted
- Invalid rate (existing line B7): Non-Automation Non-Machinable Letters – Presorted
- Invalid rate (existing line B10): Non-Automation Non-Machinable Letters – Non-Machinable Surcharge (presorted letters)
- Invalid rate combination: New Line B5, *Residual from First-Class Mail Mailing (includes up to 1 oz. and between 1 oz. and 3.5 oz.)* cannot be combined with new line B6, *Non-Presorted/Single-Piece**

The line mapping for First-Class Mail (PS Form 3600-FCM) postage statements would be updated to support the new rate structure, where line B13 (Nonmachinable Surcharge** (for single-piece letters)) would be mapped to new lines B11 (Non-Presorted/Single-Piece*) and B12 (Single-Piece from USPS Marketing Mail Mailing).

- Mail.dat changes: New Rate Ingredients combinations for existing Rate Indicators

| Processing Category | Rate Category | Rate Type | MPU Surcharge | MPU Machinability | Rate |
|---------------------|---------------|-----------|---------------|-------------------|--|
| LT | L7 | R | N | Y | Non-Automation Machinable Letters AADC |
| LT | L8 | R | N | Y | Non-Automation Machinable Letters Mixed AADC |
| LT | G | R | R | N | Non-Automation Nonmachinable Letters 5-Digit |
| LT | K | R | R | N | Non-Automation Nonmachinable Letters 3-digit |
| LT | L6 | R | R | N | Non-Automation Nonmachinable Letters Mixed ADC |

- Mail.XML changes: New Rate Ingredients combinations for existing Rate Indicators

| Processing Category | Rate Category | Rate Type | MPU Surcharge | Rate |
|---------------------|---------------|-----------|---------------|--|
| LT | L7 | R | N | Non-Automation Machinable Letters AADC |
| LT | L8 | R | N | Non-Automation Machinable Letters Mixed AADC |
| LT | G | R | R | Non-Automation Nonmachinable Letters 5-Digit |
| LT | K | R | R | Non-Automation Nonmachinable Letters 3-digit |
| LT | L6 | R | R | Non-Automation Nonmachinable Letters Mixed ADC |

- Shipping Services File (SSF) Changes: No impact
- Indicium Creation Record (ICR) File: No impact
- Price Change Type / Product Type: Market Dominant

5.0 Proposed USPS Marketing Mail High-Density Flat Mail on Carrier Route Bundle/Pallet Price Categories

The USPS is considering creating prices to encourage mailers to prepare more USPS Marketing Mail High-Density flats on Carrier Route bundle/pallets. Preparing as such allows these flats to bypass distribution operations in the plant and be transported directly to the delivery unit. The proposal would add Rate Categories as prices for High Density mail on Carrier Route pallets entered at Origin, NDC, SCF and DDU, that are processed at the DDU.

- Postage Statement Changes: PS 3602 R & N, New lines would be added to support High-Density on CR Bundle/Pallet prices for all entries (None, DNDC, DSCF, and DDU)

| Line | Section within Part | Destination Entry | Price Category | Status/Change |
|------|---|-------------------|----------------------------------|---------------|
| F4 | Flats 4 oz. (0.25 lbs.) or less | None | High-Density – CR Bundles/Pallet | Add new line |
| F10 | Flats 4 oz. (0.25 lbs.) or less | DNDC | High-Density – CR Bundles/Pallet | Add new line |
| F16 | Flats 4 oz. (0.25 lbs.) or less | DSCF | High-Density – CR Bundles/Pallet | Add new line |
| F22 | Flats 4 oz. (0.25 lbs.) or less | DDU | High-Density – CR Bundles/Pallet | Add new line |
| F34 | Flats Over 4 oz. (0.25 lbs.) but less than 16 | None | High-Density – CR Bundles/Pallet | Add new line |
| F40 | Flats Over 4 oz. (0.25 lbs.) but less than 16 | DNDC | High-Density – CR Bundles/Pallet | Add new line |
| F46 | Flats Over 4 oz. (0.25 lbs.) but less than 16 | DSCF | High-Density – CR Bundles/Pallet | Add new line |
| F52 | Flats Over 4 oz. (0.25 lbs.) but less than 16 | DDU | High-Density – CR Bundles/Pallet | Add new line |
| F61 | Part F Total (Add lines F10-F60) | -- | -- | Update line |

- Mail.dat changes:
 - New .cqt Rate Category value

| Rate Category | Rate |
|---------------|--|
| B2 | High Density – on Carrier Route Bundles/Pallet |

Note: existing lines for similar rate combinations (such as Basic on CR Bundle/Pallet) uses Rate Category to drive the mapping

- Mail.XML changes:
 - New RateCategory value

| Rate Category | Rate |
|---------------|--|
| B2 | High Density – on Carrier Route Bundles/Pallet |

Note: existing lines for similar rate combinations (such as Basic on CR Bundle/Pallet) uses Rate Category to drive the mapping

- Shipping Services File (SSF) Changes: No impact
- Indicium Creation Record (ICR) File: No impact
- Price Change Type / Product Type: Market Dominant

6.0 Proposed Extra Service Updates for International Mail and Shipments

The USPS is considering establishing separate prices for similar International Mail Extra Services that appear on both the market dominant and competitive product lists. As a result, the Extra Services for First-Class Mail International, which is the only international Market Dominant product, could be priced differently from the Extra Services for the rest of the International Mail products.

These existing Extra Services will continue to be supported for Non-FCMI international mail:

- S1 – Certificate of Mailing
- S5 – Insurance
- S6 – Registered Mail
- S9 – Return Receipt
- S19 – Certificate of Bulk Mailing

The following **new** Extra Services will be added to support First Class Mail International (FCMI):

- • S39 – Certificate of Mailing (3 or more – Form 3665) – FCMI Only
 - • S40 – Registered Mail – FCMI Only
 - • S41 – Return Receipt (Form 2865) – FCMI Only
 - • S42 – Certificate of Bulk Mailing (Form 3606) – FCMI Only
- Postage Statement Changes: PS Form 3700 – Extra Services

| Line | Existing Line Description | Updated Line Description | Status/Change |
|------|---|--|------------------------------|
| S19 | Certificate of Bulk Mailing (Form 3906) | Certificate of Bulk Mailing (Form 3606) | Update existing line (form#) |
| S39 | N/A | Certificate of Mailing (3 or more- Form 3665)- FCMI Only | Add new line |
| S40 | N/A | Registered Mail-FCMI Only | Add new line |
| S41 | N/A | Return Receipt (Form 2865)-FCMI | Add new line |
| S42 | N/A | Certificate of Bulk Mailing (Form 3606)-FCMI Only | Add new line |
| S99 | Part F Total (Add lines S1 – S19) | Part S Total (Add Lines S1 – S42) | Update existing line |

- Mail.dat changes: New Rate Ingredients combinations for existing Rate Indicators
- Mail.XML changes: New Rate Ingredients combinations for existing Rate Indicators
- Shipping Services File (SSF) Changes: New Rate Ingredients combinations for existing Rate Indicators
- Indicum Creation Record (ICR) File: No impact
- Price Change Type / Product Type: Competitive & Market Dominant